

Progress Report Under the Global Compact

WestLB supports the United Nations [Global Compact](http://www.unglobalcompact.org)¹ with the aim of making a contribution to the worldwide implementation of its ten principles. The table below shows the WestLB guidelines, programmes and management systems ("systems") which support the ten principles of the Global Compact in the Bank's sphere of influence. It also shows the practical measures taken in the reporting period with regard to each principle and where specific results have been achieved (achievements). The sphere of influence of financial services provider goes beyond the management of its own business operations, which is why the aspects shown below also refer to WestLB's business relationships. This list of examples is not conclusive.

Objective	Systems	Measures	Achievements
Principle 1 Protection of human rights	Externally: Equator Principles (p. 19)	Internally: Sustainability policy (p. 54) Externally: Refinement of Equator Principles. (p. 19)	
Principle 2 No abuse of human rights	Externally: Equator Principles (p. 18/19)	Externally: Examination in project financings; categorisation of potential or financed projects (p. 18–20)	Externally: Assessment in accordance with Equator Principles in project financings in 2005 and 2006, conditions imposed for some projects, review, renewed adjustment in some cases (p. 19)
Principle 3 Uphold the freedom of association	Internally: Group staff councils (p. 33) Externally: See principle 2	Externally: See principle 2	Internally: 100% of WestLB employees enjoy unlimited freedom of association (p. 51) Externally: See principle 2
Principle 4 Elimination of all forms of forced and compulsory labour	Externally: See principle 2	Externally: See principle 2	Externally: See principle 2
Principle 5 Effective abolition of child labour	Externally: See principle 2	Externally: See principle 2	Externally: See principle 2
Principle 6 Elimination of discrimination	Internally: Enterprise agreement "Fairness am Arbeitsplatz" (Fairness at Work) (p. 32) Internally: "Anti-Bullying at Work" (UK) and "Non-Harassment-Policy" (USA) (p. 32)	Internally: Equal Opportunities Plan (p. 32)	Internally: Increase the percentage of women in executive positions (p. 50) Internally: "Top Employer in Germany" award (p. 33) Internally: "Fair Company" award (p. 33)
Principle 7 Precautionary approach to environmental challenges	Internally: Environmental management system to ISO 14001:2004 (p. 43) Externally: See principle 2	Training framework "Nachhaltige Bildung" (Sustainable Education and Training) (p. 31, 55) Externally: See principle 2	Internally: First-ever CO ₂ balance according to GHG Protocol (p. 44) Externally: See principle 2
Principle 8 Initiatives to promote greater environmental responsibility	Group sustainability programme (p. 54/55)	Internally: Energy-efficient modernisation of the headquarters in Düsseldorf (p. 44) Internally: Raising employee awareness for energy saving measures (p. 44) Internally: Use of organic food in the canteen (p. 46) Internally: Use of environmentally friendly paper in the Bank (p. 47)	Internally: Reduction in flight kilometres on business trips (p. 45) Internally: Reduction in paper consumption (p. 53)
Principle 9 Development and diffusion of environmentally friendly technologies		Externally: Loans for renewable energies (p. 22) Externally: Participation in research projects for the initiation of environmental innovations (p. 25)	Externally: Arranging of a loan for a solar power station in southern Spain (p. 22) Sustainability-oriented certificates and research products (p. 23/24)
Principle 10 Work against corruption	Code of conduct (p.11)	Internally: Training of new employees and in high-risk units (p. 37)	No cases of corruption known (p. 37)

¹ www.unglobalcompact.org