

Progress Report Under the Global Compact

WestLB supports the United Nations Global Compact with the aim of making a contribution to the worldwide implementation of its ten principles. The table below shows the WestLB guidelines, programmes and management systems ("systems") which support the ten principles of the Global Compact in the bank's sphere of influence. This list of examples is not conclusive.

Objective	Systems	Measures	Achievements
Principle 1 Protection of human rights	Equator Principles (p. 8/9) WestLB Policy for Environmental and Social Issues (p. 6/7)	Ongoing development of the Equator Principles (p. 9))	Consistent implementation of our policy in the business units (p. 6-10)
Principle 2 No abuse of human rights	Equator Principles (p. 8/9) Policy for Environmental and Social Issues (p. 6/7); Procurement Management (p. 11)	Examination in project financings (p. 8/9) Sustainability criteria in contracts with suppliers (p. 11)	Consistent implementation of our policy in the business units (p. 6-10)
Principle 3 Uphold the freedom of association	See principle 2 Group staff councils	See principle 2	See principle 2 100 percent of the workforce enjoy unlimited full freedom of association
Principle 4 Elimination of all forms of forced and compulsory labour	See principle 2	See principle 2	See principle 2
Principle 5 Effective abolition of child labour	See principle 2	See principle 2	See principle 2
Principle 6 Elimination of discrimination	Enterprise agreement "Fairness am Arbeitsplatz" (Fairness at Work) "Anti-Bullying at Work" (UK) and "Non-Harassment-Policy" (USA) agreements	Ongoing development of the Equal Opportunities Plan (p. 17)	Continuous increase in the percentage of women in executive positions (p. 17)
Principle 7 Precautionary approach to environmental challenges	See principle 2 Commitment to support the UN and EU climate targets (p. 10) Environmental management system to ISO 14001 (p. 11)	See principle 2 Training of employees and involvement of service providers (p. 17)	See principle 2 CO ₂ -balance according to GHG Protocol (p. 15)
Principle 8 Initiatives to promote greater environmental responsibility	Group sustainability programme (p. 16/17)	Limitation of CO ₂ emissions of company cars (p. 11) Reduction of residual waste and improving the recycling ratio (p. 17)	Implemented since 02/2009 (S. 17) Improved waste sorting scheme has been implemented (p. 17)
Principle 9 Development and diffusion of environmentally friendly technologies	Provision of appropriate financial services and products (p. 10/11)	Loans for renewable energies (p. 9/10) Extra Financial Research (p. 10/11)	No. 10 in clean energy financing (p. 9) Sustainability-oriented certificates and research products (p. 10/11)
Principle 10 Measures to fight corruption	WestLB Compliance Guidelines	Increased staff training (p. 16) Further incorporation of compliance standards into the supply chain (p. 16)	No known cases of corruption